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10/079,709

February 19, 2002

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**Application Number** 

Filing Date

I OKW			First Named Inventor	Robert VAN GORCOM			
			Group Art Unit	1652	RECEIVED		
(to be used for all correspondence after initial filing)			Examiner Name	To be assigned	AUG 1 4 2002		
Total Number Of Pages In Thi	is Submission	6	Attorney Docket No.	246152002603			
ENCLOSURES (check all that apply) TECH CENTER 1600/2900							
Fee Transmittal Form	m		signment Papers an Application)		After Allowance Communication to Group		
Fee Attached	d	Dra	wing(s)		Appeal Communication to Board of Appeals and Interferences		
Amendment / Reply		Lice	ensing-related Papers		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)		
After Final		Peti	ition		Proprietary Information		
Affidavits/ded	clarations		ition to Convert to a visional Application		Status Letter		
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Firm	Irina E. Britva, Registra			ET ON AGE			
or	Morrison & Foerster LL		190				
S.			); San Diego, California 92130-2	332			
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Date August <u>57</u> , 2002				,			
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PATENT Docket No. 246152002603

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Jose Luna

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Robert VAN GORCOM, et al.

Serial No.: 10/079,709

Filing Date: February 19, 2002

For: CLONING AND EXPRESSION OF MICROBIAL PHYTASE

Examiner: To be assigned

Group Art Unit: 1652

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TECH CENTER 1600/291

# INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents were previously submitted in an Information Disclosure Statement and/or Office Action, directed to the related application Serial Number 09/233,510, filed January 20, 1999, now issued as U.S. Patent No. 6,350,602, and, accordingly, copies are not included herewith. This protocol conforms with 37 C.F.R. §1.98(d) and M.P.E.P. 609(A)(2). The Examiner is requested to make these documents of record in the application.

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charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing <u>246152002603</u>. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: August <u>5</u>, 2002

Respectfully submitted,

Irina E. Britva

Registration No. 50,498

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PTO/SB/08 (2-92)

Sheet 1 of 2

Form PTO 4449

## INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(Use several sheets if necessary)

Docket Number 246152002603

Application Number 10/079,709

Applicant

Robert VAN GORCOM, et al.

Filing Date February 19, 2002

Group Art Unit 1652

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Mailing Date August 6, 2002

U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	01/1967	3,297,548	Ware et al.	435	196	
	2.	07/1995	5,436,156	Van Gorcom et al.	435	252.3	

### FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Transla YES	ation NO
	3.	10/1988	0 287 152	EP				
	4.	06/1986	0 321 004	EP				

### OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

		(**************************************
Examiner Initials	Ref. No.	Title
	5.	Cosgrove. "Inositol Phosphate Phosphatases of Microbiological Origin. Inositol Phosphate Intermediates in the Dephosphorylation of the Hexaphosphates of Myo-Inositol, Scyllo-Inositol, and D-Chiro-Inositol by a Bacterial (Pseudomonas Sp.) Phytase" Aust. J. Biol. Sci. 23:1207-1220 (1970)
	6.	Graf, (Ed.) Phytic Acid: Chemistry and Applications Pilatus Press, Minneapolis, MN Chapter 2 pp.23-42 (1986)
	7.	Han. "Use of Microbial Phytase in Improving the Feed Quality of Soya Bean Meal" Animal Feed Sci. & Technology 24:345-350 (1989)
	8.	Mullaney et al. Filamentous Conference (April 1987) Pacific Grove, California (poster presentation) 15 pages total
	9.	Mullaney et al. "Positive Identification of a Lambda gt11 Clone Containing a Region of Fungal Phytase Gene by Immunoprobe and Sequence Verification" Appl. Microbiol. Biotechnol. 35:611-614 (1991)
	10.	Nayini et al. "The Phytase of Yeast" Lebensmittel Wissenschaft und Technologie 17:24-26 (1984)
	11.	Nelson et al. "Effect of Supplemental Phytase on the Utilization of Phytate Phosphorus by Chicks" J. Nutrition 101:1289-1294 (1971)
	12.	Powar et al. "Purification and Properties of Phytate-Specific Phosphatase from <i>Bacillus subtilis</i> " J. Bacteriol. 151:1102-1108 (1982)

**EXAMINER:** 

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PTO/SB/08 (2-92) Sheet 2 of 2

Docket Number 246152002603 Application Number 10/079,709 Applicant MATION DISCLOSURE CITATION Robert VAN GORCOM, et al. IN AN APPLICATION (Use several sheets if necessary) Filing Date February 19, 2002 Group Art Unit 1652 Mailing Date August 4, 2002 Product Information Brochure published by Alko Ltd., entitled "FinaseTM Enzymes by Alko" 13. Rajamaki, Finland, 4 pages total (undated) 14. Samson et al. Nature 318:191-194 (1985) 15. Ullah. Enzyme and Engineering Conference IX, October 4-8, 1987 Santa Barbara, California (poster presentation) 2 pages total. Ullah et al. "Extracellular Phytase (E.C. 3.1.3.8) from Aspergillus Ficuum NRRL 3135: Purification 16. and Characterization" Preparative Biochem. 17(1):63-91 (1987) 17. Ullah "Aspergillus Ficuum Phytase: Partial Primary Structure, Substrate Selectivity, and Kinetic Characterization" Preparatory Biochem. 18(4):459-471 (1988) Ullah. "Production, Rapid Purification and Catalytic Characterization of Extracellular Phytase from 18. Aspergillus Ficuum" Preparative Biochem. 18(4):443-458 (1988) Yamada et al. "Phytase from Aspergillus terreus" Agr. Biol. Chem. 32(10):1275-1282 (1968) 19.

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Creation date: 09-24-2003

Indexing Officer: LANSAH - LUMUMBA ANSAH

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Dossier: 10079709

Legal Date: 11-08-2002

No. Doccode		Number of pages
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